

Registered Non – Licensed Person for the Securities Sector
7th October 2020

| NLP identifier | Name of Institution |
|-----------------------|---|
| 1806 | 1291 Group of the Americas Ltd. |
| 1865 | 64 North West Partners Ltd. |
| 1155 | Acadia Wealth Management Ltd. |
| 1579 | Albourne Partners (Bermuda) Limited |
| 1769 | AlphaCat Managers Ltd. |
| 1008 | Alternative Investment Management (Bermuda) Limited |
| 1490 | Altree Custody Services Ltd |
| 1011 | AON Securities Inc |
| 1012 | Aquilus Management Limited |
| 1015 | Argonaut Financial Services Limited |
| 1612 | Aureo Capital Limited |
| 1019 | Aureo Partners Limited |
| 1021 | Aurum MAM Fund Management Ltd. |
| 1893 | Cambridge Global Wealth Management Ltd. |
| 1045 | Cedar Financial Advisors Limited |
| 1885 | Chard Re Investment Management Ltd. |
| 1656 | Cheyne Capital International L.P. |
| 1050 | Cheyne Capital International Limited |
| 1556 | Crossborder Capital (Bermuda) Ltd. |
| 1649 | Eastpoint Asset Management Ltd. |
| 1094 | Ecosse Limited |
| 1725 | EFG Wealth Management (Bermuda) Limited |
| 1788 | Elementum (Bermuda) Ltd. |
| 1495 | Equilibria Capital Management Limited |
| 1104 | Equus Asset Management Ltd. |
| 1105 | Equus Asset Management Partners L.P. |
| 1114 | Finch International Advisors Limited |
| 1117 | Focus Investment Ltd |
| 1799 | Genio Capital Ltd. |
| 1657 | Harrington Global Limited |
| 1849 | Harrogate Advisors Limited |
| 1880 | HBC Management Limited. |
| 1883 | Hudson Structured Capital Management Ltd. |
| 1505 | ICM Limited |
| 1878 | ILS Capital Management Ltd. |
| 1882 | ILS Investment Management Limited |
| 1169 | Independent Financial Services Bermuda Ltd. |
| 1842 | Innova Re Investment Solutions Holdings Ltd. |

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| 1780 | Invictus RG Pte. Ltd. |
| 1892 | IQ EQ Management Bermuda Limited |
| 1888 | Kane LPI Solutions Limited |
| 1520 | Leman Management Limited |
| 1801 | Lingspur Asset Management Ltd. |
| 1202 | Lone Star Global Acquisitions, Ltd. |
| 1847 | Lutece Investment Management Ltd. |
| 1841 | MaxQuant Capital Ltd. |
| 1251 | Mezzanine Management Central Europe II Limited |
| 1260 | Midcircle Investment Management Ltd |
| 1281 | Nephila Capital Ltd |
| 1683 | New Ocean Capital Management Limited |
| 1631 | North Shore Investments Ltd. |
| 1291 | Notz Stucki Management (Bermuda) Limited |
| 1303 | Oracle Management Limited |
| 1304 | Orbis Administration Limited |
| 1305 | Orbis Asset Management Limited |
| 1306 | Orbis Capital Limited |
| 1881 | Pacific Investment Management Company LLC |
| 1314 | Patterson Partners Ltd. |
| 1749 | Pillar Capital Management Limited |
| 1826 | Prime Capital (Bermuda) Limited |
| 1890 | Quadrant Advisors Ltd. |
| 1891 | Securities Africa Limited |
| 1743 | Silverback Management Limited |
| 1555 | Solidum Asset Management (Bermuda) Limited |
| 1805 | South Africa Alpha Capital Management LTD |
| 1367 | SphereInvest (Bermuda) Limited |
| 1376 | Sterling Gent Advisors Ltd |
| 1377 | Stillmont Advisors Limited |
| 1384 | Swiss Edge Ltd |
| 1479 | Tangarra Consultants Limited |
| 1394 | Tiger Management Ltd. |
| 1810 | Timber Capital Limited |
| 1395 | TIP (Bermuda) Limited |
| 1407 | Windward Management Ltd |
| 1412 | Zagreus Management Limited |